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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/035,351	10/19/2001	Aab Konstantin	LMPY-15210

CONFIRMATION NO. 2237

## FORMALITIES LETTER



\*OC00000007405342\*

Andrew V. Smith  
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P.O. Box 6149  
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MAR 26 2002

TECHNOLOGY CENTER 2800

Date Mailed: 02/01/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$990.
  - \$486 for 27 total claims over 20.
  - \$504 for 6 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1860.

04/24/2002 SSALEEKU 00000005 500612 10035351

01 FC:101	740.00 CH
02 FC:102	504.00 CH
03 FC:103	486.00 CH
04 FC:105	130.00 CH

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

PATENT  
Docket No.: LMPY-15210

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2881

Examiner:

Serial No.: 10/035,351

Filed: October 19, 2001

In re Application of: Konstantin Aab, et al.

For: RESONATOR ARRANGEMENT FOR BANDWIDTH CONTROL

Certificate of Facsimile

I hereby certify that this correspondence is being faxed to Technology Center 2800 of the United States Patent and Trademark Office, facsimile no. 1 703 872-9318 and addressed to Director of Patents, Box Missing Parts, Washington, D.C. 20231 on March 26, 2002. Signed Gerry Ubau

Gerry Ubau

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Total Number of Pages: 7

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Director of Patents  
Box Missing Parts  
Washington, D.C. 20231

Dear Sirs:

Responsive to the Notice to File Missing Parts dated February 1, 2002,

please find enclosed herewith:

1. A Declaration and Power of Attorney, executed by the inventors;
2. Please debit our Deposit Account No. 50-0612 the amount of \$ 1860.00

for payment of filing fees and surcharge for a large entity calculated as follows:

Filing Fee:	\$ 740.00
6 Independent Claims over 3:	\$ 504.00
27 Total Claims over 20:	\$ 486.00
Surcharge	\$ 130.00
<u>Total</u>	<u>\$ 1860.00</u>

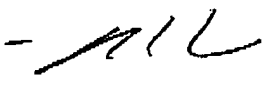
3. Copy of Notice to File Missing Parts;

In the event any variance exists between the amount enclosed and the patent office charges for filing the above-noted documents, including any fees required under 37 CFR 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, the Assistant Commissioner is hereby authorized to charge or credit the difference to our Deposit Account No. 50-0612. An additional copy of this page is enclosed.

Respectfully submitted,  
SIERRA PATENT GROUP, LTD.

Dated: 3-26-02

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